



The Consultative Committee for Space Data Systems

**Draft Recommendations for
Space Data System Standards**

**RADIO FREQUENCY AND
MODULATION SYSTEMS—**

**PART 1
EARTH STATIONS AND SPACECRAFT**

DRAFT RECOMMENDED STANDARD

CCSDS 401.0-P-18.1

PINK SHEETS

February 2008

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REFERENCES

- [1] *Procedures Manual for the Consultative Committee for Space Data Systems*. CCSDS A00.0-Y-9. Yellow Book. Issue 9. Washington, D.C.: CCSDS, November 2003.
- [2] *Radio Frequency and Modulation—Part 1: Earth Stations*. Report Concerning Space Data System Standards, CCSDS 411.0-G-3. Green Book. Issue 3. Washington, D.C.: CCSDS, May 1997.
- [3] *Radio Regulations*, International Telecommunication Union, Geneva, Switzerland, 1992.
- [4] *Recommendations and Reports of the CCIR*, 1986 Plenary Assembly, Dubrovnik, Yugoslavia, 1986.
- ~~[5] *Radio Frequency and Modulation Systems—Spacecraft Earth Station Compatibility Test Procedures*. Report Concerning Space Data Systems Standards, CCSDS 412.0-G-1. Green Book. Issue 1. Washington, D.C.: CCSDS, May 1992.~~

The latest issues of CCSDS documents may be obtained from the CCSDS Secretariat at the address indicated on page i.

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**2.2.3 CHOICE OF ~~WAVEFORMS~~ PULSE CODE MODULATION (PCM) FORMAT
IN TELECOMMAND LINKS**

The CCSDS,

considering

- (a) that NRZ-L, -M ~~waveforms~~ result in efficient spectrum utilization;
- (b) that present telecommand bit rates are generally less than or equal to 4 kb/s;
- (c) that telecommand data sidebands are separated from the carrier by employing a PSK subcarrier;
- (d) that NRZ-L ~~waveforms~~ results in very good signal-to-noise performance;
- (e) that NRZ-M ~~waveforms~~ avoids ambiguity errors;

recommends

- (1) that CCSDS agencies use NRZ-L, -M ~~waveforms~~ format with PSK subcarriers for telecommand data;
- (2) that due consideration be given to the bit transition density of the telecommand modulation to ensure proper operation of the spacecraft's receiving equipment.

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2.4.2 ~~MODULATING PCM WAVEFORMS~~ **PULSE CODE MODULATION (PCM)**
FORMAT FOR SUPPRESSED CARRIER SYSTEMS

The CCSDS,

considering

- (a) that interaction between data sidebands and their RF carrier causes undesirable performance degradation;
- (b) that suppressed carrier modulation schemes eliminate interaction between data sidebands and the RF carrier;
- (c) that the necessary bandwidth for a suppressed carrier system with NRZ modulation is less than for a residual carrier system using Manchester or subcarrier modulation schemes;
- (d) that the lack of a carrier reference at the demodulator results in a phase ambiguity ~~of 180-degrees~~ in the data that depends on the order of the modulation;
- (e) that this phase ambiguity is unacceptable and must be removed either by ~~providing periodic, recognizable bit patterns for polarity determination~~ using synchronization markers, or by using a modulation that is insensitive to polarity as recommended in 401 (2.4.11);
- (f) that Differential NRZ (DNRZ) modulation format is insensitive to polarity;
- (g) that DNRZ conversion inherently produces ~~doublet~~ two bit errors at the converter output for every single bit error at the converter input, but ~~bit pattern polarity determination schemes~~ the use of synchronization markers can result in the loss of entire frames;
- ~~(h)~~ that placing the differential encoder before the convolutional encoder mitigates the propagation of errors;
- ~~(hi)~~ that some CCSDS member agencies use ~~DNRZ~~ suppressed carrier modulation with DNRZ format in their relay satellites to reduce the necessary bandwidth while preventing data-carrier interaction;
- ~~(ij)~~ that either NRZ-M or NRZ-S is an acceptable DNRZ ~~modulation scheme~~ format;
- ~~(jk)~~ that NRZ-M is currently in use;

recommends

- (1) that suppressed carrier modulation schemes ~~use~~ select NRZ-M ~~waveforms~~ format in case synchronization markers are not used and select NRZ-L format otherwise, as recommended in 401 (2.4.11);
- (2) that in convolutionally encoded systems requiring conversion between NRZ-L and NRZ-M, the conversion from NRZ-L take place before the input to the convolutional encoder, and the conversion from NRZ-M to NRZ-L take place after the output from the convolutional decoder in order to maximize performance.

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2.4.17A MODULATION METHODS FOR HIGH SYMBOL RATE TRANSMISSIONS, SPACE RESEARCH, SPACE-TO-EARTH, CATEGORY A

The CCSDS,
considering

- (a) that efficient use of RF spectrum resources is imperative with the increasing congestion of the frequency bands;
- (b) that the SFCG has approved a Recommendation,¹ specifying a spectrum mask for *Space Research* Category A Space-to-Earth links operating in certain bands;²
- (c) that suppressed carrier modulation techniques, such as ~~FQPSK-B~~,³ GMSK⁴ and baseband filtered/~~shaped~~ OQPSK⁵ modulations, can meet the SFCG Recommended¹ spectrum mask for symbol rates in excess of 2 Ms/s;
- (d) that since GMSK modulation is inherently differential in nature, the use of GMSK with precoding is necessary to optimize bit error rate performance;
- (~~e~~) that ~~FQPSK-B~~,³ GMSK⁴ and baseband filtered/~~shaped~~ OQPSK⁵ modulation types can be demodulated using a conventional OQPSK receiver, but with differing end-to-end losses;
- (~~e~~) that GMSK,⁴ and baseband filtered OQPSK⁵ ~~and, with proper trellis demodulation/equalization techniques, FQPSK-B³ and shaped OQPSK⁵~~ modulations have only a small performance degradation as compared with ideal unfiltered suppressed carrier systems;
- (~~f~~) that ~~most~~some space agencies currently ~~have conventional OQPSK receivers and many~~ have no plans to modify their existing OQPSK ground station receivers to optimize reception of ~~FQPSK-B³ and~~ GMSK⁴ and baseband filtered OQPSK⁵ signals, so that these two modulation techniques will incur greater losses than unfiltered OQPSK;⁵
- (~~g~~) ~~that the link performance of FQPSK-B³ modulation exhibits greater losses than GMSK;⁴~~
- (h) that ~~FQPSK-B~~, GMSK and baseband filtered/~~shaped~~ OQPSK modulations have immunity to interference (wideband and narrow band) comparable to unfiltered BPSK when demodulated with an OQPSK receiver matched to an unfiltered OQPSK waveform; the interference immunity of these modulations when demodulated with matched filter receivers is equivalent to or better than BPSK;

recommends⁶

that, to comply with the SFCG Recommendation¹ and to ensure an ability to obtain cross-support in certain *Space Research* service bands² ~~FQPSK-B³ or~~ GMSK⁴ or baseband filtered/~~shaped~~ OQPSK⁵ be used for space-to-Earth transmissions when the telemetry data symbol rates exceed 2 Ms/s.

NOTES:

¹ See SFCG Recommendation ~~17-2R121-2R2~~ or latest version.

² Category A bands are: 2200-2290 MHz and 8450-8500 MHz.

³ ~~Feder-patented Quadrature Phase Shift Keying modulation. For further information, contact DIGCOM Inc, El Macero, Ca, USA.~~

⁴ Gaussian Minimum Shift Keying ($B_T B_T S = 0.25$), with pre-coding as in figure 2.4.17A-1 (see CCSDS 413.0-G-1). B refers to the one-sided 3-dB bandwidth of the filter.

⁵ Filtered (Square Root Raised Cosine $\alpha = 0.5$) Offset QPSK; Butterworth 6 poles, $B_T B_T S = 0.5$ ~~or Shaped Offset QPSK A, -B~~; agencies may also utilize ~~baseband~~-filtered OQPSK modulation with other types of ~~filters provided that they ensure compliance with note 1 above and interoperability with the cross-supporting networks~~ bandpass filters provided that the equivalent baseband $B_T S$ is not greater than 0.5 and they ensure compliance with SFCG Recommendation 21-2R2 (or latest version) and interoperability with the cross-supporting networks. B refers to the one-sided 3-dB bandwidth of the filter.

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- ⁶ Space agencies requiring cross-support should consider the performance degradation of the filtered ~~shaped~~ OQPSK, ~~and~~ ~~FQPSK~~ ~~and~~ GMSK modulation techniques when received with unmatched demodulators at existing ground stations (see performance data in CCSDS 413.0-G-1); the ordering of modulation types does not imply a preference.

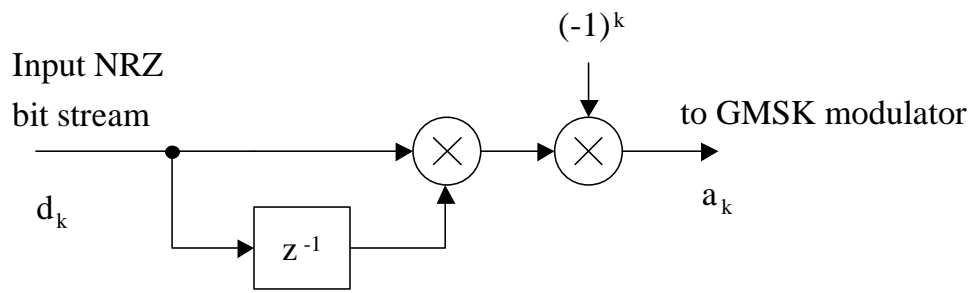


Figure 2.4.17A-1: GMSK Precoder

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**2.4.17B MODULATION METHODS AT HIGH SYMBOL RATE TRANSMISSIONS,
SPACE RESEARCH, SPACE-TO-EARTH, CATEGORY B**

The CCSDS,

considering

- (a) that in accordance with ITU RR S3.9, efficient use of the RF spectrum resources is required;
- (b) that the SFCG has approved an Efficient Spectrum Utilization Recommendation¹ which specifies maximum allowable bandwidth (B25)¹ guidelines based on the symbol rate for emissions in the Space Research, Category B, 8 GHz band;
- (c) that the maximum bandwidth (B25)¹ in the Space Research, Category B, 8 GHz band under the SFCG Recommendation¹ is limited to 12 MHz for non-Mars missions on a non-interfering basis to other missions and 8 MHz in all other cases;
- (d) that the Space Research, Category B, frequency allocation at 2 GHz is 10 MHz, requiring high symbol rate users sharing the band to be spectrally efficient;
- (e) that 2 Ms/s is used as a boundary for the definition of high symbol rate for Space Research, Category A, Space-to-Earth transmissions in both the 2 and 8 GHz bands;²
- (f) that GMSK³ is a spectrally efficient modulation with negligible end-to-end losses using an optimized receiver;
- (g) that since GMSK modulation is inherently differential in nature, the use of GMSK with precoding is necessary to optimize bit error rate performance;
- (gh) that short periodic data patterns can result in zero power at the carrier frequency;

recommends

- (1) that GMSK³ be used for high data rate transmissions whenever practicable and in any case for rates in excess of 2 Ms/s in communications systems operating in either the 2 or 8 GHz bands, provided that in no case the transmission bandwidth (B25)¹ exceed that recommended by the SFCG;¹
- (2) that Category B missions requiring transmission bandwidths (B25)¹ higher than that recommended⁴ by the SFCG in 8 GHz band, use the 31.8-32.3 GHz band instead;
- (3) that CCSDS agencies use a data randomizer as specified in the CCSDS telemetry synchronization and channel coding blue book 131.0-B-1 (or latest edition).

NOTES:

¹ See SFCG Recommendation 23-1 or latest version.

² See CCSDS Recommendation 401 (2.4.17A) B-1.

³ Gaussian Minimum Shift Keying ($BT_s = 0.5$), with precoding as in figure 2.4.17B-1 (see CCSDS 413.0-G-1). B refers to the one-sided 3-dB bandwidth of the filter.

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⁴ Under the 12 MHz bandwidth limitation for non-Mars missions on a non-interfering basis, the maximum symbol rate using GMSK $\beta_{TSBT_s}=0.5$ is 9.3 Ms/s. For Mars missions and non-Mars missions which interfere with Mars missions, the maximum symbol rate using GMSK $\beta_{TSBT_s}=0.5$ is 6.2 Ms/s.

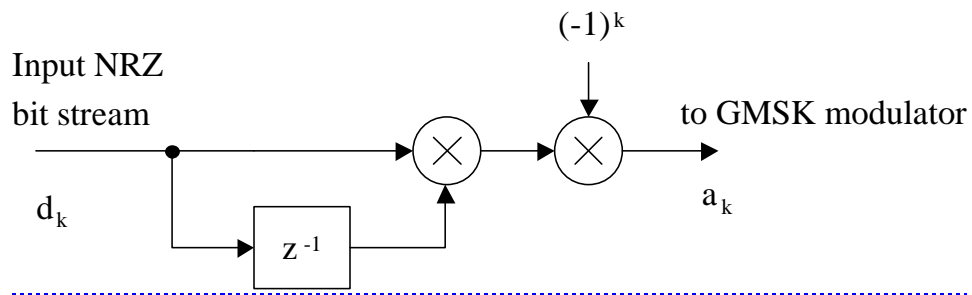


Figure 2.4.17B-2: GMSK Precoder

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3.5.1 MINIMUM SET OF SPACECRAFT - EARTH STATION TESTS REQUIRED TO ENSURE COMPATIBILITY (Continued)

TABLE 3.5.1-1

TEST TYPES ⁺
<p>SPACECRAFT RADIO FREQUENCY</p> <p>Transmitter frequency and frequency stability Transmitter residual carrier phase jitter Transmitter RF spectrum measurement Receiver rest frequency determination Receiver acquisition frequency range and rate Receiver tracking frequency range and rate</p>
<p>TELEMETRY</p> <p>Telemetry modulation index Telemetry receiver carrier threshold Telemetry bit error rate Telemetry spectrum</p>
<p>TELECOMMAND</p> <p>S/C receiver command and carrier threshold S/C receiver telecommand phase modulation index variation Telecommand receiver spurious carrier immunity Telecommand receiver spurious modulation immunity</p>
<p>RANGING</p> <p>Transponder ranging delay Ranging downlink modulation index vs. uplink modulation index Ranging downlink spectrum Ranging downlink modulation index vs. uplink signal-to-noise power</p>
<p>EARTH STATION ANTENNA TRACKING SYSTEM</p> <p>Receiver carrier signal level threshold</p>

NOTE:

1. See *CCSDS Radio Frequency and Modulation Systems, Spacecraft-Earth Station Compatibility Test Procedures*, CCSDS 412.0-G-1, May 1992, for descriptions of the test procedures and equipment.